Serial #:10/797,978

Reference #: EX02-049C2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Hager et al

Serial No.: 10/797,978 Filed: 3/10/2004

MULTI-WELL APPARATUS For:

Confirmation No: 5004

Attorney Docket No: EX02-049C2

RECEIVED **CENTRAL FAX CENTER**

APR 2 6 2005

PETITION TO REVIVE UNDER 37 CFR 1.137(b)

Assistant Commissioner of Patents Alexandria, VA 22313-1450

Dear Commissioner:

In response to the Notice of Abandonment for the above-identified application, applicants hereby submit a petition requesting the application be revived on the grounds of UNINTENTIONAL DELAY.

This petition is accompanied by the following:

- 1) A copy of the Notice of Abandonment mailed 2/15/2005;
- 2) A copy of the notice to file missing parts mailed 5/27/2004;
- 3) The executed oath/declaration and assignment:
- 4) The petition fee of \$1500.00 as set forth in 37 CFR 1.17(m);
- 5) PTO form PTO/SB/64;
- 6) Information Disclosure Statement.

Applicants hereby state that the entire delay in this case was unintentional.

The Commissioner is authorized to charge the petition fee of \$1500, the oath and declaration late submission fee of \$130.00, and any other fees required for consideration of this paper and any other papers filed herewith to deposit account number 50-1108.

Respectfully submitted,

Dated: April 26, 2005

Laleh Shayesteh

Reg. No. 47,937

EXELIXIS, INC.

170 Harbor Way, P.O. Box 511

South San Francisco, California 94083-0511

Telephone: (650) 837-8223 Facsimile: (650) 837-8234

PTO/SBr84 (09-04)
Approved for usethrough 07/31/2005. OMB 0551-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED ININTENTIONALLY UNDER 37 CFR 1.137(b)						
First named inventor: Hager						
Application No.: 10/797,978	Art Unit:		_			
Filed: 3/10/2004	Examiner:	RECEIVE CENTRAL FAX C	NTER			
Title: MULTI-WELL APPARATUS		APR 2 6 2	105			
Attention: Office of Petitions Mall Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 FAX: (703) 872-9306	•	APK 2 0 4	903			
NOTE: If information or assistance is need Petitions Information at (703) 305-9		please contact				
The above-identified application became abandoned for fallure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extensions of time actually obtained.						
APPLICANT HEREBY PETITIONS FOR F	REVIVAL OF THIS APPLICATION	ON				
NOTE: A grantable petition requires the following items: (1) Petition fee; (2) Reply and/or issue fee; (3) Terminal disclaimer with disclaimer fee required for all utility and plant applications filed before June 8, 1995; and for all design applications; and (4) Statement that the entire delay was unintentional.						
1. Petition fee Small entity - fee (37 CFR 1.17(m)). Applic	ant claims small entity statu	s. See 37 CFR 1.27.				
☑ Other than small entity - fee \$1500.00 (37 CFR 1.17	((m))					
2. Reply and/or fee A. The reply and/or fee to the above-noted Office action in the form of <u>oath and declaration</u> (identify type of reply): ☐ has been filed previously on ☑ is enclosed herewith.						
B. The issue fee of \$						
□ has been paid previously on						
is enclosed herewith.						

[Page 1 of 2]

This collection of Information is required by 37 CFR 1.137. Theinformation is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chiefinformation Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

04/28/2005 MBIZUNES 00000050 501108 10797978

02 FC:1453 1500.00 DA

PTO/SB/64 (09-04)
Approved for use 07/31/2006. OMB 0651-0031
U.S Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Terminal disclaimer with disclaimer fee Since this utility/plant application was filed on or after June 8, 1995, no terminal disclaimer is required. for a small entity or \$ for other A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$_ than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63). STATEMENT. The entire delay in filling the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional. [NOTE: The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(b) was unintentional (MPEP 711.03(c), subsections (III)(C) and (D))]. WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO-2038. April 26, 2005 Date 47,937 Laleh Shayesteh Typed or printed name Registration Number, if applicable 650-837-8223 Exelixis, Inc., 170 Harbor Way, P.O. Box 511, South San Francisco, California 94083-0511 Telephone Number Address Address Enclosures: Fee Payment □ Reply □ Terminal Disclaimer Form Additional sheets containing statements establishing unintentional delay Other: Petition statement, Oath and Declaration, Assignment, IDS, Return Receipt Postcard CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(A)] I hereby certify that this correspondence is being: 🔯 deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450. Alexandria, VA 22313-1450. Itransmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) 872-9306. April 26, 2005 Stonature Date Laleh Shayesteh Typed or printed name of person signing certificate

[Page 2 of 2]



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS FO. Soc 1439 Alexadria, Viginia 22313-1450

APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

10/797,978

03/10/2004

Hager

EX02-049C2

CONFIRMATION NO. 5004 ABANDONMENT/TERMINATION LETTER

OC00000015189006

23500
PATENT DEPT
EXELIXIS, INC.
170 HARBOR WAY
P.O. BOX 511
SOUTH SAN FRANCISCO, CA 94083-0511

Date Mailed: 02/15/2005

NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 05/27/2004.

No reply was received.

If a complete reply to the notice was previously filed by applicant within the time period set forth in the notice, applicant may request for reconsideration of the holding of abandonment within 2 months from the mailing of this notice of abandonment by filing a petition to withdraw the holding of abandonment under 37 CFR 1.181(a). No petition fee is required. The petition must be accompanied by a true copy of the originally filed reply and the item (s) identified in one of the following:

- 1. A properly itemized date-stamped postcard receipt (see MPEP § 503);
- 2. If the originally filed reply included a certificate of mailing or transmission in compliance with 37 CFR 1.8(a), a copy of the certificate of mailing or transmission and a statement in compliance with 37 CFR 1.8(b) (see MPEP §§ 512); or
- 3. If the reply was filed via Express Mail, a submission satisfying the requirements of 37 CFR 1.10(e) including, for example, a copy of the Express Mail mailing label showing the "date-in" (see MPEP § 513).

Any petition to withdraw the holding of abandonment should be directed to OIPE.

If applicant did not previously file a complete reply within the time period set forth in the notice, applicant may file a petition to revive the application under 37 CFR 1.137.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of UNAVOIDABLE DELAY must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(i); and (4) a terminal disclaimer if required by 37 CFR 1.137(d). See MPEP § 711.03(c) and Form PTO/SB/61.

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of UNINTENTIONAL DELAY must be filed promptly after applicant becomes aware of the abandonment and such petition must be

FEB 23 2005

accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d). See MPEP § 711.03(c) and Form PTO/SB/64.

Any questions concerning petitions to revive should be directed to the "Office of Petitions" at (703) 305-9282. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS 9.0. Box 1450 Alexandria, United 20113-1450

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/797,978

03/10/2004

Hager

EX02-049C2

CONFIRMATION NO. 5004

FORMALITIES LETTER

| WILLIAM | WILL

23500
JAN P. BRUNELLE
EXELIXIS, INC.
170 HARBOR WAY
P.O. BOX 511
SOUTH SAN FRANCISCO, CA 94083-0511

Date Mailed: 05/27/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/28/2005 MBIZUNES 00000050 501108

10797978

FILED UNDER 37 CFR 1.53(b)

01 FC:1051

130.00 DA

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

\$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Berosan

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

PTO/SB/01 (09-04) Approved for use through 07/31/2008. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paparwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)		Attorney Docket Numb	er EX02-049C2			
		First Named Inventor	Hager, et al			
		COMPLETE IF KNOWN				
		Application Number	10/797,978			
□Declaration Submitted OR With Initial Filing (37 CFR 1.16 (e)) required)	Filing Date	3/10/2004				
	Filing (surcharge	Art Unit				
	• • • • • • • • • • • • • • • • • • • •	Examiner Name				

I hereby declare that:							
Each inventor's residence, mailing address, and citizenship are as stated below next to their name.							
	I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:						
Multi-Well Appara	Multi-Well Apparatus						
				-			
the specification of which (Title of the Invention)							
is attached hereto							
OR Was filed on (MM/DD	NOVO COMPANIE			. DOT late as the se			
was filed on (MM/DD	03/10/2004	as United States Ap	iplication Number o	r PC1 Internationa	ļ		
Application Number	10/797,978 and	was amended on (MM/DD/Y	YYY)	(#	applicable;		
I hereby state that I have reamended specifically referre	vlewed and understand the cod to above.	entents of the above identif	ied specification, i	including the clair	ns. as		
I acknowledge the duty to di	isclose information which is n	naterial to patentability as d	efined in 37 CFR	1.56, including fo	r		
continuation-in-part applicat the national or PCT internati	ions, material information whi ional filing date of the continu	ich became available betwe Jation-in-part application.	en the filing date	of the prior applic	ation and		
	benefits under 35 U.S.C. 119(a		oreign application(s	s) for patent, invent	tor's or plant		
	or 365(a) of any PCT internati w and have also identified belo						
	or any PCT international app						
Prior Foreign Application		Foreign Filing Date	Priority	Certified Copy	Attached?		
Number(s)	Country	(MM/OD/YYYY)	Not Claimed	YES	NO		
Additional togging applicat	ion numbers are listed on a sur	polemental minrity data sheet	PTO/SB/02B attac	bed hereto:			

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office. U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTC/SB/01 (09-04)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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DECLADATION.	Hillity o	r Decian	Datant	Application

DECLARATION — OII	nty 0	—	Coly	,11	116111		ipplication
Direct all correspondence to:	ber		23500		OR	?	Correspondence address below
Name							
Exelixis, Inc.	Ma						
Address							
170 Harbor Way							
City	State					ZIP	
South San Francisco	CA					9408	83-0511
Country	-		Teleph	ione			Fax
US			650-837	7-7000			650-837-8234
I hereby declare that all statements made herein of my own believed to be true; and further that these statements were punishable by fine or imprisonment, or both, under 18 U.S. application or any patent issued thereon.	e made wit	th the ki	knowledge	e that will	llful false s	stater	ments and the like so made are
NAME OF SOLE OR FIRST INVENTOR:			A petit	tion has	been fil	ed fo	or this unsigned inventor
Given Name (first and middle [if any]) David Clarence		Family Name or Surname Hager					
Inventor's Signature					Date	/,	la Mai-
- fully	7-			Т	17	\not	16/00
Residence: City San Francisco	State CA			Count	ıy ⊾ ∕		Citizenship US
Malling Address 2542 46th Avenue							
City	State			Zip			Country
San Francisco	CA		94116				US
NAME OF SECOND INVENTOR:			A petiti	ion has	been file	ed fo	or this unsigned inventor
Given Name (first and middle [if any]) 900 3. Jeffeny D. Jeffeny D.	118/200	250		ily Name aldson	e or Surr	name	е
Inventor's Signature On Dolban			<u></u>		Date	3/	18 (2005
Residence: City	State			Countr	· · · · ·		Citizenship
Tigard	OR]	US		'	US
Mailing Address 8550 S.W. Colony Creek Court				*****	•		
City	State			Zip		$\overline{}$	Country
Tigard	OR		1	97224			US
Additional inventors or a legal representative are being na	amed on th	e Z sur	etnemeloc	ıl sheet(s)	PTO/SB/	/02A r	or 02LR attached hereto.

[Page 2 of 2]

APR-26-2005 **EXELIXIS** P.13/29 12:14

PTC/SB/02A (09-04)

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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet

Page 3 of 3

Name of Additional invent	or, if an	v			A petition	on has been l	filed	for this unsigned inventor
···				Т	Family Name or Surname			
Given Name (first	ina miladie	i in anyi)		١,	earney		arriny	Name of Surfame
Patrick Inventor's					earney			
Signature Shall		7		,				Date 3/24/05
Residence: City San Franc	isco	State CA		Co	antry	US		US Citizenship
Mailing Address 195 Boca	a Street	:				v		
Mailing Address								
City San Francisco		CA State		ZIP	9741	10	Co	US untry
Name of Additional Invent	or, if an	у .			A petition	has been filed	d for	this unsigned inventor
Given Name (first	nd middle	[if any])			Family Name or Surname			
Douglas O.				к	Keller			
Inventor's Signature						•		Date
Residence: City Lake Osw	go ,	State OR		Çot	intry	JS		Citizenship US
Mailing Address 17754 Tre	etop Lane	:						
Mailing Address								
City Lake Osw	go si	tate OR		Zip	9703	4	Co	untry US
Name of Additional Invent	r, if any	У			☐ A petition has been filed for this unsigned inventor			
Given Name (first	nd middle	(if any))		Family Name or Sumame				
James William				L	ahy			
Inventor's Signature								Date
Residence: City San Leans	ro	State C	A	Cou	intry	us		Citizenship US
Mailing Address 1185 Cam	ellia Court						•	
Mailing Address								
City San Leans	ro	State CA			Zip	94577	Co	ountry US

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The Information is required to obtain or retain a benefit by the public which This collection of information is required by 35 U.S.C. The and 37 CFR 1.03. The information is required to obtain of retain a behalf by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTC/SB/02A (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet

Page 3 of 3

Name of Addition	nel Inventor, if an		Г	A petition ha	as been file	ed for this unsigned inventor	
			! 				
Patrick	Name (first and middl	e jir anyi)	一,	Kearney		nily Name or Surname	
Inventor's				rearriey			
Signature		· · · · · · · · · · · · · · · · · · ·				Date	
Residence: City	San Francisco	CA State	Co	US Untry		US . Citizenship	
Mailing Address	195 Boçana Street						
Mailing Address							
City San Francisco		CA State	ZIF	974110		US Country	
Name of Additional Inventor, if any				A petition has	been liled t	or this unsigned inventor	
Given	Name (first and middle	e [if any])		Family Name or Surname			
Douglas O.	7	· .		Celler			
Inventor's Signature	un-	:				Date	
Residence: City	Lake Oswego	State OR	Co	untry US		Citizenship US	
Mailing Address	17754 Treetop Lane		•				
Mailing Address						,	
City	Lake Oswego s	tate OR	· ZIp	97034		Country US	
Name of Addition	al inventor, if an	у		A petition has been filed for this unsigned inventor			
Given	Name (first and middle	(il any))		Family Name or Sumame			
James William			l	eahy			
inventor's Signature		:				Date	
Residence: City	San Leandro	State CA	Cou	untry US		Citizenship US	
Mailing Address	1185 Camellia Court						
Mailing Address			_				
City	San Leandro	State CA		Zip 945	77	Country	

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/02A (09-04)
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U.S. Patent and Trademark Oilice; U.S. DEPARTMENT OF COMMERCE

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet

Page 3 of 3

Name of Addition	onal Inventor, if	any	☐ A petition ha	is been filed	for this unsigned inventor		
Give	en Name (first and mid	ddle [if any])		Family	Name or Surname		
Patrick			Kearney				
Inventor's Signature					Date		
Residence: City	San Francisco	CA State	Country		US Citizenship		
Mailing Address	195 Bocana Stree	at					
Mailing Address							
City San Francisco		CA State	974110 ZIP	Co	US untry		
Name of Additio	nal Inventor, if a	any	☐ A petition has i	been filed for t	this unsigned inventor		
Give	en Name (first and mid	Idle [if any])		Family Name or Surname			
Douglas O.			Keller	Keller			
Inventor's Signature					Date		
Residence: City	Lake Oswego	State OR	Country US		Citizenship US		
Mailing Address	17754 Treetop Lar	ne					
Mailing Address							
City	Lake Oswego	State OR	Zip 97034	Cou	untry US		
Name of Addition	nal Inventor, if a	ny	A petition ha	A petition has been filed for this unsigned inventor			
Giver	n Name (first and midd	die [if any])		Family Name or Surname			
James William			Leahy				
Inventor's Signature	William	-deA			Date 4/6/05		
Residence: City	San Leandro	Share CA	Country US		Citizenship US		
Malting Address	1185 Camellia Cou	urt :					
Mailing Address							
City	San Leandro	State CA	Zip 9457	77 Co.	untry US		

This collection of Information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will wary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

VA 22313-1450.

PTO/SB/02A (09-04)
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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet

Page 4 of 4

Name of Additional Inventor, if any			☐ A petition has been filed for this unsigned inventor				
Given	Name (first and middle	if anull		Family Name or Surname			
Ropert D.	Traine (mot and middle	5 [H G.1.5]/		Mercer	<u></u>		
Inventor's Signature	Done					9-04-05 Date	
Residence: City	Comellus	OR State	Co	US		US Citizenship	
Mailing Address	1984 SW Blooming	-Fern Hill Rd					
Mailing Address							
City Cornelius		OR State	ZI	97113	Col	US untry	
Name of Additional Inventor, if any				A petition has been file	d for t	his unsigned inventor	
Given	Name (first and middle	[if any])	Family Name or Surname				
Michael •			Morrissey				
Inventor's Signature						Date	
Residence: City	Darwille	State CA	Co	ountry US		Citizenship US	
Mailing Address	129 Alta Vista Way						
Mailing Address							
City	Danville S	tate CA	Zip	94506	Cou	intry US	
Name of Addition	al Inventor, if an	y .	☐ A petition has been filed for this unsigned inventor				
Given	Name (first and middle	[if any])	Family Name or Surname				
Troy M.			5	Swartwood			
Inventor's Signature						Date	
Residence: City	Seattle	State WA	Co	untry US		Citizenship US	
Malling Address	4044 NE 58th Street						
Malling Address							
City	Seattle	State WA		Zip 98105	Co	untry US	

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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet

Page <u>4</u> of <u>4</u>

Name of Additional Inventor, If any			☐ A petition has been filed for this unsigned inventor			
Given Nam	e (first and middle	(If anvi)	Family Name or Surname			
Robert D.			Mercer			
Inventor's Signature				Date		
Residence: City Co	rnelius	OR State	Country	US		
Mailing Address 196	641 SW Blooming	-Fern Hill Rd .				
Mailing Address						
City Cornelius		OR .	97113 ZIP	Country		
Name of Additional Inventor, if any			A petition has been file	d for this unsigned inventor		
Given Name	e (first and middle	[if any])	Family Name or Surname			
Michael			Morrissey			
Inventor's Signature	han	~//		Date 3-24. 2015		
Residence: City Dar	nville	State A	Country US .	Citizenship		
Mailing Address 129	Alta Vista Way	():				
Mailing Address						
City Dar	nville St	cate CA.	Zip 94506	Country US		
Name of Additional In	ventor, if any	,	A petition has been filed for this unsigned inventor			
Given Name	e (first and middle	[if any])	Family Name or Sumame			
Troy M.			Swartwood			
Inventor's Signature				Date		
Residence: City Sea	ittle	State WA	Country US	Chizenship		
Mailing Address 4044 NE 58th Street						
Mailing Address	•	:				
	ittle	State WA	Zip 98105	Country US		

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APR-26-2005 12:16 EXELIXIS P.18/29

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ADDITIONAL INVENTOR(S)
Supplemental Sheet

Page 4 of 4

					
Name of Additional Inventor, if	any	A petition has been filed for this unsigned inventor			
Given Name (first and min	ddle (it anyl)	F	amily Name or Sumame		
Robert D.		Mercer			
Inventor's Signature			Date		
Residence: City Comelius	State OR	Country	US Citizenship		
Mailing Address 19641 SW Bloom	ing-Fern Hill Rd				
Mailing Address					
City Cornellus	OR State	97113 ZIP	US Country		
Name of Additional Inventor, if	any	A petition has been file	d for this unsigned inventor		
Given Name (first and mic	ldle [if any])	Family Name or Surname			
Michael	•	Morrissey	-		
Inventor's Signature	·		Date		
Residence: City Danville	State CA	Country US	Citizenship US		
Mailing Address 129 Alta Vista Wa	y .				
Mailing Address					
City Danville	State CA	Zip 94506	Country US.		
Name of Additional Inventor, if a	iny	A petition has been tiled for this unsigned inventor			
Given Name (first and mid	dle [if any])	Family Name or Surname			
Troy M.		Swartwood			
inventor's Signature Trey MS	wartwoo		Date 3/17/05		
Residence: City Seattle	State WA	Country US	Citizenship US		
Mailing Address 4044 NE 58th Stre	et				
Mailing Address					
City Seattle	State WA	Zip 98105	Country US		

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ASSIGNMENT

WHEREAS we, David Clarence Hager, Jeffrey D. Donaldson, Patrick Kearney, Douglas O. Keller, James William Leahy, Robert D. Mercer, Michael Morrissey, and Troy M. Swartwood, have previously entered into an agreement with Exelixis, Inc., South San Francisco, CA, to assign all our right, title and interest in and to any and all inventions made or conceived or reduced to practice during the course of our employment with Exelixis, and further agreed to execute additional assignment documents to assist Exelixis with respect to its proprietary rights, and wherein we, during the course of our employment with Exelixis have invented *Multi-Well Apparatus* (hereinafter "Invention"), disclosed in an application for United States patent, said application filed on March 10, 2004, Serial No. 10/797,978.

We are informed that Exelixis, Inc., 170 Harbor Way, P.O. Box 511, South San Francisco, California 94083-511 is desirous of obtaining an assignment document that indicates that Exelixis Plant Sciences, Inc., a wholly owned subsidiary of Exelixis, Inc. (collectively hereinafter referred to as Assignee), has received all right, title and interest to the Invention.

Now, therefore, and in consideration of our employment and compensation paid to us by Assignee and other good and valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, we have assigned to said Assignee and said Assignee's legal representatives, successors and assigns, the entire right, title, and interest (a) in and to said application and said Invention; (b) in and to all rights to apply for foreign patents on said invention; (c) in and to any and all applications filed and any and all patents granted on said Invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, continuation or nonprovisional 37 CFR 1.53(b) application of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the above-mentioned Assignee agreeably with the terms of this agreement.

We hereby authorize the above-mentioned Assignee or its legal representative to insert in this instrument the filing date and serial number of said application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

We have conveyed to said Assignee the entire right to make application in its own behalf for protection of said Invention in countries foreign to the United States and where expedient to claim under the International Convention or other international arrangement for any such application the date of the said United States application (or other application if any there be) in priority to other applications; and we have agreed that we will at any time upon request, without further or additional compensation or additional consideration, but at the expense of the said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable or perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, renewal, reissued or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chose in action accruing as a result of such application or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of both parties.

Date: 4/26/05 Date: 3/18/2005	By: David Clarence Hage By: Double Jeffrey D. Donaldson
Date:	By: Patrick Kearney

Attorney Docket No: EX02-049C2

· APR-26-2005 12:17 EXELIXIS P.20/29

ASSIGNMENT

WHEREAS we, David Clarence Hager, Jeffrey D. Donaldson, Patrick Kearney, Douglas O. Keller, James William Leahy, Robert D. Mercer, Michael Morrissey, and Troy M. Swartwood, have previously entered into an agreement with Exelixis, Inc., South San Francisco, CA, to assign all our right, title and interest in and to any and all inventions made or conceived or reduced to practice during the course of our employment with Exelixis, and further agreed to execute additional assignment documents to assist Exelixis with respect to its proprietary rights, and wherein we, during the course of our employment with Exelixis have invented *Multi-Well Apparatus* (hereinafter "Invention"), disclosed in an application for United States patent, said application filed on March 10, 2004, Serial No. 10/797,978.

We are informed that Exelixis, Inc., 170 Harbor Way, P.O. Box 511, South San Francisco, California 94083-511 is desirous of obtaining an assignment document that indicates that Exelixis Plant Sciences, Inc., a wholly owned subsidiary of Exelixis, Inc. (collectively hereinafter referred to as Assignee), has received all right, title and interest to the Invention.

Now, therefore, and in consideration of our employment and compensation paid to us by Assignee and other good and valuable consideration, the receipt and sufficiency whereof are hereby acknowledged, we have assigned to said Assignee and said Assignee's legal representatives, successors and assigns, the entire right, title, and interest (a) in and to said application and said Invention; (b) in and to all rights to apply for foreign patents on said invention; (c) in and to any and all applications filed and any and all patents granted on said Invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, continuation or nonprovisional 37 CFR 1.53(b) application of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the above-mentioned Assignee agreeably with the terms of this agreement.

We hereby authorize the above-mentioned Assignee or its legal representative to insert in this instrument the filing date and serial number of said application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

We have conveyed to said Assignee the entire right to make application in its own behalf for protection of said Invention in countries foreign to the United States and where expedient to claim under the International Convention or other international arrangement for any such application the date of the said United States application (or other application if any there be) in priority to other applications; and we have agreed that we will at any time upon request, without further or additional compensation or additional consideration, but at the expense of the said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable or perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, renewal, reissued or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chose in action accruing as a result of such application or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of both parties.

Date:	Ву:
	David Clarence Hager
Date:	By:
	Jeffrey D. Donaldson
Date: 3/24/65	By: Rele E.
	Patrick Kearney

Date: 3/31/05	By W/
7 /	Douglas O. Keller
Date:	By:
	By: James William Leahy
Date:	Ву:
	Robert D. Mercer
Date:	Ву:
	Michael Morrissey
Date:	Ву:
	Troy M. Swartwood

Date:	By: Douglas O. Keller
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Date:	By: Douglas O. Keller
Date:	By: James William Leahy
Date: 4-64-05	By: Robert D. Mercer
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Date:	Ву:
	James William Leahy
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	Douglas O. Keller
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	Robert D. Mercer
Date:	Ву:
	Michael Morrissey
Date: 3/17/05	By: Troy M Swartwood
	Troy M. Swartwood

Serial #:10/797,978 Reference #: EX02-049C2

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Hager et al

Confirmation No: 5004

Serial No.: 10/797,978

Attorney Docket No: EX02-049C2

Filed: 3/10/2004

For: MULTI-WELL APPARATUS

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Commissioner of Patents Alexandria, VA 22313-1450

In the accompanying Information Disclosure Statement by Applicant, references 1-48 were previously cited in US patent application number 10/094,253, now issued US patent US6,852,290, which is relied upon for an earlier effective filing date under 35 U.S.C. 120. Thus, copies of these references are not provided herewith pursuant to 37 C.F.R. 1.98(d).

No Fees are believed to be due for the submission of this IDS. If this belief is in error, however, the Commissioner is authorized to charge any fees required for consideration of this paper and any other papers filed herewith to deposit account number 50-1108.

Respectfully submitted,

Dated: April 26, 2005

Laleh Shayesteh

Reg. No. 47,937

EXELIXIS, INC.

170 Harbor Way, P.O. Box 511

South San Francisco, California 94083-0511

Telephone: (650) 837-8223 Facsimile: (650) 837-8234

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INFORMATION PLOCE COURT				Application Number	10/797,978	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	CLOSURE	Filing Date	3/10/2004			
STA	STATEMENT BY APPLICANT		First Named Inventor	Hager		
				Art Unit		
(Use as many sheets as necessary)		Examiner Name				
Sheet	1	of	3	Attorney Docket Number	EX02-049C2	

			U.S. PATENT	DOCUMENTS	•
Examiner	Cita	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan
Initials " No.1	Number - Kind Code ² (# known)	MM-DD-YYYY		Passages or Relevant Figures Appear	
	1	US- 3,319,792	5/16/67	Leder et al	
	2	US- 3,730,352	5/1/73	Cohen et al	
	3	US- 3,928,203	12/23/75	Kremer	
	4	US- 4,079,009	3/14/78	Seiler et al	
	5	US- 4,304,865	12/8/81	O'Brien et al	
	6	US- 4,483,925	11/20/84	Noack	
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	8	US- 5,047,215	9/10/91	Manns	
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	10	US- 5,141,719	8/25/92	Fernwood et al	
	11	US- 5,208,161	5/4/93	Saunders et al	
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	13	US- 5,679,310	10/21/97	Manns	
	14	US- 6,054,100	4/25/00	Stanchfield et al	
	15 -	US- 6,159,368	12/12/00	Moring et al	
	16	US- 4,623,461	11/18/86	Hossom et al	
	17	US- 4,693,834	9/15/87	Hossom	
	18	US- 4,734,192	3/29/88	Champion et al	
	19	US- 4,895,708	1/23/90	Root et al	
	20	US- 4,984,564	8/14/90	Root et al	

	FOREIGN PATENT DOCUMENTS					
Examiner Cite	Foreign Patent Document	2.55.5	Name of Patentee or	Pages, Columns, Lines,		
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date MM-DD-YYYY	Applicant of Cited Document		
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Substitute for form 1449A/PTO			•		Complete if Known	
					Application Number	10/797,978
INFC	RMATION	DIS	CLOSURE		Filing Date	3/10/2004
STATEMENT BY APPLICANT			First Named Inventor	Hager		
				:	Art Unit	
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Sheet	2	of	3	1	Attorney Docket Number	EX02-049C2

		1.1.2.2.1	U.S. PATENT I	OCUMENTS	
Examiner	Cite	Document Number	Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevan
initials *	No.1	Number - Kind Code ² (<i>if known</i>)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear
	22	US-5,039,493	8/13/91	Oprandy	
	23	US- 5,205,989	4/27/93	Aysta	
	24	US- 5,264,184	11/23/93	Aysta et al	
	25	US- 5,283,039	2/1/94	Aysta	
	26	US- 5,364,533	11/15/94	Ogura et al	
	27	US- 5,464,541	11/7/95	Aysta et al	*
	28	US- 5,484,572	1/18/98	Katakura et al	
	29	US- 5,620,663	4/15/97	Aysta et al	
	30	US- 5,624,815	4/29/97	Grant et al	
	31	US- 5,976,824	11/2/99	Gordon	
-	32	US- 5.998,214	12/7/99	Guirguis	
	33	US- 5,417,923	5/23/95	Bojanic et al	
	34	US- 6,063,282	5/16/00	Moulton	
	35	US- 4,797,259	1/1 0/89	Matkovich et al	
	36	US- 6,225,079	5/1/01	Mueller	
	37	US- 3,405,706	10/15/68	Cinqualbre	
	· 38	US- 3,604,410	9/14/71	Whitacre	
	39	US- 5,104,375	4/14/92	Wolf et at	
	40	US- 3,696,806	10/10/72	Sausse	
	41	US- 5,505,721	4/9/96	Leach et al	

		FOREIGN PA	TENT DOCU	MENTS		
Examiner Initials*	Cite	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patient Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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				Application Number	10/797,978		
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				Art Unit			
(Use as many sheets as necessary)			necessary)	Examiner Name			
Sheet	3	of	3	Attorney Docket Number	EX02-049C2		

			U.S. PATENT	DOCUMENTS	
Examiner Initials	Cite No.	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan
		Number - Kind Code ² (# known)	MM-DD-YYYY	Choo Document	Passages or Relevant Figures Appear
	42	US- 4,676,256	6/30/87	Golden	
	43	US- 2002/0004643	1/10/02	Carmel et al	
	44	US- 5,364,386	11/15/94	Fukuoka	
	45	US- 3,494,351	2/10/70	Harn	
. —	46	US- 3,848,581	11/19/74	Cinqualbre et al	
	47	US- 5,086,780	2/11/92	Schmitt	
	48	US-6,314,412	5/24/94	Rex	
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*EXAMINER: Initial If reference considered, whether or not cliation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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